RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT

Applicable to NSDL and CDSL Demat Accounts

Name of Company: JAYPEE CAPITAL LIMITED
SEBI Registration No. (DP): N-DP-814-2025
NSDL DP ID: IN304828
CDSL DP ID: 12104800

1. General Clause

1. The Beneficial Owner (BO) and the Depository Participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 2018, rules, regulations, circulars, notifications and guidelines issued by SEBI, and the Bye-laws, Business Rules and Operating Instructions of NSDL and/or CDSL, as applicable.
2. The DP shall open and activate a demat account only after receiving the duly completed account opening form, KYC details and supporting documents as prescribed by SEBI and the concerned Depository.

2. Beneficial Owner Information

1. The DP shall maintain the information and documents relating to the Beneficial Owner confidentially and shall not disclose such information except as required under applicable laws, regulations or directions of competent statutory and regulatory authorities.
2. The Beneficial Owner shall immediately notify the DP in writing or through an approved electronic mode of any change in the details furnished at the time of opening the demat account or subsequently.

3. Fees, Charges and Tariff

1. The Beneficial Owner shall pay applicable charges for holding and transferring securities and availing depository services as mutually agreed and disclosed in the tariff sheet.
2. The DP shall comply with the prescribed charges applicable to Basic Services Demat Accounts (BSDA), wherever applicable.
3. The DP shall not increase the agreed charges without providing at least 30 days' prior written notice to the Beneficial Owner.
4. No charges shall be payable for opening a demat account, as prescribed by SEBI.

4. Dematerialisation

1. The Beneficial Owner shall have the right to get eligible securities dematerialised in accordance with the prescribed procedures of the concerned Depository.
2. The DP shall process dematerialisation requests in accordance with applicable SEBI and Depository regulations and timelines.

5. Maintenance of Separate Accounts

1. The DP shall maintain separate demat accounts for each Beneficial Owner.
2. Securities belonging to one Beneficial Owner shall remain segregated from securities belonging to other Beneficial Owners and the DP's own securities.
3. The DP shall not permit the creation of any pledge, hypothecation or other encumbrance on securities except in accordance with the prescribed legal and Depository procedures.

6. Transfer of Securities

1. he DP shall effect transfers to or from the Beneficial Owner's demat account only on the basis of duly authorised instructions, mandates or directions.
2. The DP shall maintain appropriate records, supporting documents and audit trails of all transfer instructions.
3. The Beneficial Owner shall have the right to provide standing instructions for credit of securities into the demat account.
4. The Stock Broker/DP shall not directly or indirectly compel a client to execute a Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI), nor deny services merely because the client refuses to execute such authorisation.

7. Statement of Account

1. The DP shall provide holding and transaction statements to the Beneficial Owner in the prescribed manner, frequency and format.
2. The Beneficial Owner shall have the right to receive account statements and report any discrepancy or unauthorised transaction immediately to the DP.

8. Closure of Demat Account

1. The DP may close a demat account in accordance with applicable rules, after giving at least 30 days' written notice to the Beneficial Owner and the concerned Depository.
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3. The Beneficial Owner shall have the right to request closure of the demat account, subject to applicable requirements and settlement of outstanding dues.
4. On receiving a valid closure request, the DP shall initiate transfer of the securities to another demat account or rematerialisation, as instructed by the Beneficial Owner, within the prescribed timeline.
5. Closure of the demat account shall not extinguish any outstanding rights, liabilities or obligations of either party.

9. Default in Payment of Charges

1. In case of default in payment of applicable charges, the DP may levy interest in accordance with the applicable Depository provisions.
2. The DP may suspend processing of instructions after giving the prescribed notice, subject to applicable SEBI and Depository regulations.

10. Liability of Depository

1 In accordance with Section 16 of the Depositories Act, 1996, where any loss is caused to the Beneficial Owner due to negligence of the Depository or Participant, the Depository shall indemnify the Beneficial Owner as provided under the Act. The Depository may recover the amount from the Participant where the loss is attributable to the Participant's negligence.

11. Freezing and Defreezing of Accounts

1. The Beneficial Owner shall have the right to request freezing or defreezing of the demat account or securities held therein, in accordance with the prescribed procedures.
2. The DP or Depository may freeze or defreeze an account pursuant to instructions received from a competent regulator, court or statutory authority.

12. Investor Grievance Redressal

1. The DP shall redress complaints received from Beneficial Owners within 30 days of receipt, subject to applicable regulatory requirements.
2. The Beneficial Owner shall have the right to approach the DP, concerned Depository, SEBI through SCORES and other applicable grievance redressal mechanisms, including the Online Dispute Resolution (ODR) platform.

13. Authorised Representatives

1. Where the Beneficial Owner is a body corporate or other legal entity, it shall provide details of officials authorised to represent it before the DP. Any changes in authorised representatives shall be promptly communicated to the DP.

14. Law and Jurisdiction

1. The DP and Beneficial Owner shall be entitled to exercise their respective rights under the applicable laws, rules, regulations, Bye-laws and Business Rules of the concerned Depository.
2. The provisions of this document shall remain subject to applicable Government notifications, SEBI regulations, circulars and the rules and Bye-laws of NSDL and/or CDSL, as applicable.
3. The DP and Beneficial Owner shall abide by the arbitration and conciliation procedures prescribed by the concerned Depository for disputes relating to depository services.
4. Any amendments to the applicable rights and obligations arising from changes in SEBI regulations or Depository rules shall be deemed incorporated into this document and shall be communicated to clients as required.

15. Applicability

This document shall apply to all Beneficial Owners maintaining demat accounts with Jaypee Capital Limited as a Depository Participant of NSDL and/or CDSL.

Where the demat account is maintained with a particular Depository, the relevant Bye-laws, Business Rules and Operating Instructions of that Depository shall apply.

In case of any inconsistency between this document and applicable statutory or regulatory provisions, the statutory and regulatory provisions shall prevail.

Disclaimer: This document is intended to provide investors with information about their rights and obligations in relation to depository services. It shall be read along with the applicable SEBI regulations, Depositories Act, Depository Bye-laws, Business Rules and Operating Instructions.